

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA**

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COMMITTEE ON OVERSIGHT AND))
GOVERNMENT REFORM, UNITED STATES))
HOUSE OF REPRESENTATIVES,))
))
2157 Rayburn House Office Building))
Washington, D.C. 20515-6143,))
))
<i>Plaintiff,</i>))
))
v.)	Case No. 1:12-cv-1332
))
ERIC H. HOLDER, JR.,))
in his official capacity as Attorney General of the))
United States,))
))
United States Department of Justice))
950 Pennsylvania Avenue, N.W.))
Washington, D.C. 20530-0001,))
))
<i>Defendant.</i>))
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COMPLAINT

Plaintiff Committee on Oversight and Government Reform of the United States House of Representatives (“Committee” or “Oversight Committee”) brings this civil action against Defendant Eric H. Holder, Jr., Attorney General of the United States, to enforce a duly authorized, issued and served Committee subpoena for documents, namely, the Subpoena to the Honorable Eric H. Holder, Jr., Att’y Gen. of the United States (Oct. 11, 2011) (“Holder Subpoena”), attached as Exhibit A. This lawsuit, which is expressly authorized by the United States House of Representatives (“House”), arises out of:

- the obstruction by the U.S. Department of Justice (“Department” or “DOJ”) of an Oversight Committee investigation – an investigation the Department has acknowledged is appropriate and legitimate – of a now-discredited DOJ law-enforcement operation known as “Operation Fast and Furious”;
- the Attorney General’s contumacious refusal to comply with the Holder Subpoena, in particular, his refusal to produce documents that would enable the Committee (and the American people) to understand how and why the Department provided false information to Congress and otherwise obstructed the Committee’s concededly-legitimate investigation into Operation Fast and Furious;
- the President’s assertion – not directly, but through the Deputy Attorney General – of “Executive privilege” as to some unproduced documents that are of particular interest to the Committee;¹ and
- the Committee’s need for those documents – documents it cannot obtain elsewhere – in order to discharge its Article I responsibility to conduct oversight over the Department so that the Committee, and the Congress more generally, may determine whether the Department’s malfeasance warrants additions to, or changes in, existing federal laws.

¹ Letter from James M. Cole, Dep’y Att’y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1 (June 20, 2012) (“June 20, 2012 Privilege Letter”) (“[T]he President has asserted [E]xecutive privilege over the relevant post-February 4, 2011, documents.”). For the convenience of the Court, documents referenced in this Complaint – other than those with their own individual hyperlinks – are available for review at <http://oversight.house.gov/documents-in-support-of-civil-action-by-oversight-committee-vs-eric-holder/>.

While the Committee is entitled to all documents responsive to the Holder Subpoena that have not been produced, the Committee seeks in this action to enforce the Holder Subpoena only as to a limited subset of responsive documents, namely those documents relevant to the Department's efforts to obstruct the Committee's investigation. The principal legal issue presented here is whether the Attorney General may withhold that limited subset on the basis of "Executive privilege" where there has been no suggestion that the documents at issue implicate or otherwise involve any advice to the President, and where the Department's actions do not involve core constitutional functions of the President.

No Court has ever held that "Executive privilege" extends anywhere near as far as the Attorney General here contends that it does. Indeed, it is no exaggeration to say that the Attorney General's conception of the reach of "Executive privilege," were it to be accepted, would cripple congressional oversight of Executive branch agencies, to the very great detriment of the Nation and our constitutional structure. Accordingly, the Committee asks this Court to reject the Attorney General's assertion of "Executive privilege" and order him forthwith to comply with the Committee's subpoena, as set forth below.

PRELIMINARY STATEMENT

1. In February 2011, the Oversight Committee – the principal investigative Committee of the House – commenced an investigation into a DOJ law enforcement operation known as Operation Fast and Furious (and related matters). Operation Fast and Furious, which was operational between approximately November 2009 and January 2011, involved "gun walking," a controversial and now discredited tactic of knowingly permitting firearms purchased illegally in the United States to be unlawfully transferred to third-party possessors, with those illegally-purchased and unlawfully-transferred firearms intentionally not being interdicted by law

enforcement authorities. In Operation Fast and Furious, the Department knowingly allowed firearms to be purchased illegally in the United States and then transported across the U.S.-Mexico border into Mexico for the purpose of trying to establish a nexus between leaders of Mexican crime syndicates and the individual(s) who purchased the firearms.

2. The Committee initiated its investigation, which was conducted jointly with the Honorable Charles E. Grassley, Ranking Member of the Senate Committee on the Judiciary, as a result of several developments including:

- i. a November 2010 DOJ Inspector General report which found significant weaknesses in the implementation of Project Gunrunner, a comprehensive strategy designed to combat firearms trafficking, of which Operation Fast and Furious was a part;²
- ii. allegations by whistleblowers, and news reports, that the Department was utilizing gun walking techniques (allegations and reports that turned out to be true);
- iii. the murder, on or about December 15, 2010, of United States Border Patrol Agent Brian Terry while he was on duty in Arizona;
- iv. allegations by whistleblowers (that turned out to be true), and documentary evidence indicating, that two weapons found at the scene of Border Patrol Agent Terry's murder were weapons that Department officials responsible for Operation Fast and Furious had permitted to "walk"; and

² Review of ATF's Project Gunrunner, U.S. Dep't of Justice, Office of the Inspector General, Evaluation and Inspections Div. (Nov. 2010), *available on-line at* <http://www.justice.gov/oig/reports/ATF/e1101.pdf>.

- v. the Department’s categorical public denial on February 4, 2011, through Assistant Attorney General Ronald A. Weich – in response to two written inquiries to the Bureau of Alcohol, Tobacco, Firearms, and Explosives (“ATF”), a component bureau of the Department, from Senator Grassley³ – that *any* gun walking operations even existed:

[T]he allegation . . . that [ATF] “sanctioned” or otherwise knowingly allowed the sale of assault weapons to a straw purchaser who then transported them into Mexico – is false. ATF makes every effort to interdict weapons that have been purchased illegally and prevent their transportation to Mexico.⁴

3. The February 4, 2011 False Statement Letter turned out to be false to such a material degree that, as discussed in paragraph 9 below, the Department later took the extraordinary step of formally withdrawing it, albeit not for another ten months, and not before Assistant Attorney General Weich reaffirmed, in May 2011, the substance of the February 4, 2011 False Statement Letter: “It remains our understanding that ATF’s Operation Fast and Furious did not knowingly permit straw buyers to take guns into Mexico.”⁵

³ Letter from the Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Kenneth E. Melson, Acting Dir., ATF (Jan. 27, 2011); Letter from the Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Kenneth E. Melson, Acting Dir., ATF (Jan. 31, 2011).

⁴ Letter from Ronald Weich, Ass’t Att’y Gen., to Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, at 1 (Feb. 4, 2011) (“Feb. 4, 2011 False Statement Letter”).

⁵ Letter from Ronald Weich, Ass’t Att’y Gen., to Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, at 1 (May 2, 2011) (“May 2, 2011 False Statement Letter”).

4. Initially, the principal focus of the Committee's investigation was on understanding Operation Fast and Furious itself, and on determining (i) whether there was any malfeasance, abuse of authority, failed supervision, or violation of existing law on the part of the Department and its officials with regard to the conception and implementation of Operation Fast and Furious, and (ii) whether the facts uncovered suggested the need for additions to, or modification of, existing federal laws. This aspect of the Committee's investigation is referred to herein as the "Operations Component" of the Committee's investigation.

5. Portentously, the Department from the outset actively resisted cooperating fully with the Committee's investigation. Among other things, the Department initially declined to produce documents; later produced only very limited numbers of documents in piecemeal fashion; refused to make available to the Committee certain witnesses; and limited the Committee's questioning of other witnesses who were made available.

6. At the same time the Department was pursuing a general policy of non-cooperation, senior Department officials were acknowledging to the Committee that the Committee's investigation was legitimate;⁶ that "there's a there there;"⁷ and, of particular importance here, that the Department was managing its response to the Committee's

⁶ Letter from Ronald Weich, Ass't Att'y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1-2 (Apr. 19, 2011) (DOJ does not "question[] the Committee's responsibility to conduct oversight of [whether, as part of Operation Fast and Furious, ATF promoted gun walking which allowed guns to enter Mexico].").

⁷ H.R. Rep. No. 112-546, at 12 (2012), *available on-line at* <http://www.gpo.gov/fdsys/pkg/CRPT-112hrpt546/pdf/CRPT-112hrpt546.pdf>.

investigation so as to deny the Committee information, and so as “to push the information away from [its] political appointees at the Department.”⁸

7. Notwithstanding the Department’s lack of cooperation, the Committee managed to uncover – through various other investigative techniques, in particular, the cooperation of DOJ whistleblowers – a number of disturbing facts about Operation Fast and Furious itself. In light of those facts – especially facts that confirmed the Department’s use of gun walking tactics in Operation Fast and Furious – and in light of Acting ATF Director Melson’s suggestion that the Department deliberately was seeking to obstruct the Committee, the Committee’s investigation developed a second focus: (i) whether the Department attempted to obstruct the Committee’s investigation by (a) lying to the Committee or otherwise providing it with false information, (b) continuing to maintain a demonstrably false position about the use of gun walking tactics, and (c) retaliating against DOJ whistleblowers;⁹ (ii) if those things happened, (a) how and why they did, (b) why they persisted for so long, and (c) who was responsible; and (iii) whether the facts uncovered suggested the need for additions to, or modification of, existing federal laws. This aspect of the Committee’s investigation is referred to herein as the “Obstruction Component” of the Committee’s investigation.

⁸ Tr. of Interview of Kenneth Melson, Acting Dir., ATF at 123-24 (July 4, 20[1]1) (“Melson Transcript”) (incorrectly dated in original as July 4, 2001).

⁹ See Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to William J. Hoover, Dep’y Dir., ATF, at 2 (June 21, 2011) (“several ATF agents related that they have already experienced retaliation”); Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to William J. Hoover, Dep’y Dir., ATF, at 1 (July 25, 2011) (“a witness scheduled to testify before the Committee . . . received an intimidating letter from [an ATF official] The timing and content of this letter strongly suggest that ATF is obstructing and interfering with the congressional investigation into Operation Fast and Furious.”).

8. On October 11, 2011, pursuant to applicable Committee Rules, Committee Chairman Darrell E. Issa authorized and issued the Holder Subpoena which directed the Attorney General to produce documents relevant to the Operations Component and to the Obstruction Component of the Committee's investigation.

9. Two months after the Committee issued the Holder Subpoena – and ten months after the February 4, 2011 False Statement Letter asserted that no gun walking operations even existed, and seven months after the May 2, 2011 False Statement Letter reasserted the substance of the February 4 False Statement Letter – Deputy Attorney General James M. Cole acknowledged publicly that the representations in the February 4, 2011 False Statement Letter were in fact false:

[F]acts have come to light during the course of th[e Committee's] investigation that indicate that the February 4 [False Statement] Letter contains inaccuracies.¹⁰

Indeed, the February 4, 2011 False Statement Letter contained so many inaccuracies that Deputy Attorney General Cole took the extraordinary additional step of “formally withdraw[ing] the February 4 letter,” while acknowledging that Operation Fast and Furious “was [so] fundamentally flawed . . . its tactics must never be repeated.”¹¹

10. Notwithstanding Deputy Attorney General Cole's acknowledgement that the Department had provided Congress with false information, the Attorney General treated the Holder Subpoena with the same disregard with which the Department had treated the

¹⁰ Letter from James M. Cole, Dep'y Att'y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., & Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, at 1 (Dec. 2, 2011) (“Cole Retraction Letter”).

¹¹ Cole Retraction Letter, at 1-2.

Committee's investigation from the outset. Today, nearly ten months after the return date for the Holder Subpoena, the Attorney General has produced, in response, only approximately 4,000 pages of documents. Of particular importance here, the Attorney General has refused to produce virtually all documents responsive to the Holder Subpoena dated or created after February 4, 2011 – the precise date of the February 4, 2011 False Statement Letter. In effect, the Attorney General has refused to produce any documents that might aid the Committee in understanding, among other things, what the Department learned about which DOJ officials were responsible for Assistant Attorney General Weich providing Congress with false information; how the Department went about evaluating the February 4, 2011 False Statement Letter after the fact; what the Department learned that caused it to reverse its position and, eventually, to take the extraordinary step of withdrawing that letter entirely; why that process took so long; and how and why the Department continued to obstruct the Committee's investigation in the interim.

11. Between October 11, 2011, and June 19, 2012, the Attorney General justified his refusal to produce documents dated or created after February 4, 2011, on the ground that the Committee lacked sufficient investigatory interest in those documents. That is, the Attorney General purported to assume for himself the role of arbiter of what the Committee was entitled to investigate and not investigate, and to decide – in his role as self-appointed arbiter – that the Committee had an insufficient investigatory interest in the Obstruction Component of its investigation.¹²

¹² Tr. of Interview of Gary Grindler, Former Acting Dep'y Att'y Gen., Dept. of Justice, at 21-22 (Dec. 14, 2011) ("Grindler Transcript") ("The Department is entitled to respond to a congressional inquiry without Congress investigating our response . . ."); Letter from James M. Cole, Dep'y Att'y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1 (May 15, 2012) ("[W]e believe that the core questions posed by the Committee about Operation Fast and

(Continued . . .)

12. At no time between October 11, 2011, and June 19, 2012, did the Attorney General assert that any document responsive to the Holder Subpoena was subject to any claim of any particular privilege, including Executive privilege.

13. The Committee repeatedly tried during that time period to reach an accommodation with the Attorney General that would result in the production to the Committee of documents responsive to the Holder Subpoena and relevant to the Obstruction Component of the Committee's investigation – without success.

14. On June 20, 2012, moments before the commencement of a Committee meeting to consider recommending that the Attorney General be held in contempt of Congress for his contumacious refusal to comply with the Holder Subpoena, Deputy Attorney General Cole provided to the Committee a letter stating that “the President has asserted [E]xecutive privilege over the relevant post-February 4, 2011, documents.”¹³ That letter does not identify any presidential communications that purport to be the subject of the Executive privilege claim, and does not identify any specific document to which the asserted privilege purports to apply. Rather, the June 20, 2012 Privilege Letter – although it recites the words “Executive privilege” – rests entirely on a common law privilege known as the “deliberative process privilege.”

Furious have been answered.”); Letter from Eric H. Holder, Jr., Att’y Gen., to The President, at 1-2 (June 19, 2012) (“June 19, 2012 Letter”) (asking President to assert Executive privilege on ground that responsive documents “were not generated in the course of the conduct of Fast and Furious” but, rather, “in the course of the Department’s deliberative process concerning how to respond to congressional and related media inquiries into that operation”).

¹³ June 20, 2012 Privilege Letter, at 1.

15. The privilege claim contained in the June 20, 2012 Privilege Letter is legally baseless. As a result, at its June 20, 2012 meeting, the Committee rejected the claim and recommended that the full House hold the Attorney General in contempt of Congress.

16. On June 28, 2012, by a bipartisan vote of 255-67, the full House passed House Resolution 711, 112th Cong. (2012) (enacted) (“H. Res. 711”), pursuant to which the House found “Eric H. Holder, Jr., Attorney General of the United States . . . in contempt of Congress for failure to comply with a congressional subpoena.”

17. The Attorney General’s intransigence has prevented the Committee from completing its investigation. In particular, without those documents, the Committee cannot complete the Obstruction Component of its investigation.

18. The Legislative Branch is vested by the Constitution with the responsibility and authority to oversee Executive Branch agencies – including the Department – to ensure, among other things, that unelected officials in charge of those agencies are held fully accountable to the American people. While the Department is charged with enforcing the laws of the land, it does not stand above such constitutionally-mandated congressional oversight. How the Department conducts its enforcement authority, and how the Department operates and manages itself internally, have long been the subject of extensive congressional oversight. Indeed, oversight is most essential where there is evidence of serious misconduct on the part of senior-level Department officials. In this case, as detailed herein, the Department repeatedly misled the Committee about a misguided operation linked to the death of an American border patrol agent. The Cole Retraction Letter suggests, at best, a serious breakdown in communications and lines of authority within the Department’s chain of command, a breakdown that may need to be addressed by legislation; at worst, it suggests a conscious effort on the part of senior Department

officials to mislead the Committee and frustrate a legitimate oversight investigation. Either way, these circumstances make imperative robust congressional oversight to protect the public interest. Enforcement of the Holder Subpoena is essential to permit such oversight to occur.

PARTIES

19. Plaintiff Committee on Oversight and Government Reform is a standing committee of the United States House of Representatives.

20. Defendant Eric H. Holder, Jr. is, and has been since February 2, 2009, the Attorney General of the United States. He is the custodian of documents responsive to the Holder Subpoena.

JURISDICTION AND VENUE

21. This Court has jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1345. This case arises under the Constitution and laws of the United States and is brought by the United States, i.e., the Oversight Committee, pursuant to a Resolution of the House of Representatives.

22. This Court has authority to issue a declaratory judgment and order other relief that is just and proper pursuant to 28 U.S.C. §§ 2201 and 2202.

23. Venue is proper in this Court pursuant to 28 U.S.C. § 1391(b)(1), (b)(2) and (e).

GENERAL ALLEGATIONS

The Oversight Committee Possesses the Constitutional Authority To Investigate Operation Fast and Furious, and the Department's Effort To Obstruct the Investigation.

24. The Constitution bestows upon the House, by itself and through its committees, the power to investigate matters and conditions relating to subjects within Congress's legislative jurisdiction and to conduct oversight of the other branches of the federal government, including the Executive Branch which includes the Department. That power includes the constitutional

authority to require the production of documentary evidence from Executive Branch officials and agencies, by way of subpoenas duces tecum.

25. The Oversight Committee is a standing committee of the House, duly established pursuant to House Rule X.1(n), Rules of the House of Representatives (112th Cong.).¹⁴ The Rules of the House are adopted pursuant to the Rulemaking Clause of the Constitution, U.S. Const. art. I, § 5, cl. 2 (“Each House may determine the Rules of its Proceedings . . .”).

26. The Oversight Committee has specific legislative and oversight jurisdiction over, among other things, “[g]overnment management and accounting measures generally,” H.R. Rule X.1(n)(4), “[o]verall economy, efficiency, and management of government operations and activities,” *id.* at X.1(n)(6), and “[r]eorganizations in the executive branch of the Government,” *id.* at X.1(n)(12). In addition, the Oversight Committee has broad authority to “at any time conduct investigations of any matter without regard to clause 1, 2, 3 or this clause conferring jurisdiction over the matter to another standing committee.” *Id.* at X.4(c)(2).

27. The Oversight Committee is specifically authorized to “require, by subpoena or otherwise, the attendance and testimony of such witnesses and the production of such books, records, correspondence, memoranda, papers, and documents as it considers necessary.” *Id.* at XI.2(m)(l)(B). House Rule XI also provides that the Committee may delegate to its chairperson the power to issue subpoenas, *id.* at XI.2(m)(3)(A)(i), and the Committee has done that, *see* Rule 12(d), The Rules of the Committee on Oversight and Government Reform, U.S. House of Representatives (112th Cong.) (Chairman may “[a]uthorize and issue subpoenas as provided in

¹⁴ The current Rules of the House are *available on-line at* http://rules.house.gov/Media/file/PDF_112_1/legislativetext/112th%20Rules%20Pamphlet.pdf.

House Rule XI, clause 2(m), in the conduct of any investigation or activity or series of investigations or activities within the jurisdiction of the Committee.”).¹⁵

The Oversight Committee Investigates Operation Fast and Furious.

28. As discussed in paragraphs 1-2 above, the Committee commenced its investigation of Operation Fast and Furious in February 2011, jointly with Senator Grassley.

29. Initially, the Committee attempted to obtain necessary documents from the Department through informal means. For example, on March 16, 2011, Chairman Issa wrote to Acting ATF Director Melson to request that certain documents regarding Operation Fast and Furious be produced to the Committee.¹⁶

30. Notwithstanding the Committee’s efforts to proceed informally, and notwithstanding its efforts to enlist the Department in cooperating with the investigation for the benefit of the American people, the Department actively resisted cooperating fully with the Committee’s investigation from the very outset. For example, twenty minutes prior to the response deadline in the Chairman’s March 16, 2011 letter to Acting ATF Director Melson, the Department’s Office of Legislative Affairs (“OLA”) informed Committee staff in a phone conference that no documents would be produced (and, at the same time, asked for clarification of the Chairman’s letter including, remarkably, asking for a definition of the word “list”).¹⁷

¹⁵ The current Committee Rules are *available on-line at* <http://oversight.house.gov/wp-content/uploads/2012/02/1-21-12-OGR-Committee-Rules-for-112th-Congress.pdf>.

¹⁶ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to Kenneth E. Melson, Acting Dir., ATF (Mar. 16, 2011).

¹⁷ Telephone conference between DOJ, Office of Leg. Aff. Staff, & Oversight Comm. Staff (Mar. 30, 2011).

Senior Department officials, including individuals in OLA and the Office of the Deputy Attorney General (“DAG”), also prevented Mr. Melson from providing the Committee with any documents in response to the Chairman’s March 16, 2011 letter.¹⁸

31. As a result, on March 31, 2011, Chairman Issa, pursuant to Committee rules, issued to Mr. Melson a Committee subpoena for documents, returnable on April 13, 2011. Notwithstanding the issuance of the Melson Subpoena, the Department continued to decline to produce documents. Between March 31 and June 9, 2011, the Department did not produce a single non-public document in response to the Melson Subpoena. When Committee staff agreed, as a preliminary matter, to review certain responsive documents *in camera* at the Department, many of the documents made available were heavily redacted (some completely); others were duplicative; and still others already were publicly available on the Internet.¹⁹ It was not until June 10, 2011 – one business day before a scheduled Committee hearing on Operation Fast and Furious – that the Department first produced to the Committee any non-public documents, a total of 69 pages.

32. The Department also refused to make available to the Committee certain Department witnesses, and limited the Committee’s questioning of other Department witnesses.

33. The Committee attempted to obtain relevant information directly from other component entities of the Department. For example, Chairman Issa and Senator Grassley

¹⁸ Melson Tr. at 30-31, 104-06, 124, 126-28.

¹⁹ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att’y Gen. (May 5, 2011).

together wrote to the FBI and the DEA on July 11 and 15, 2011, respectively.²⁰ Each letter requested certain documents relevant to the addressee agency's involvement in Operation Fast and Furious, including documents relevant to information sharing, or the lack thereof, among component agencies of the Department. The FBI did not produce a single document and the DEA produced only a very small number of documents. (The FBI and DEA did later brief Committee staff.)

34. On September 1, 2011, Chairman Issa and Senator Grassley wrote to the Acting U.S. Attorney for the District of Arizona to request documents relating to Operation Fast and Furious, and to request interviews with three employees of that office who were involved in the operation.²¹ The Acting U.S. Attorney never responded. While the Department belatedly responded several months later on behalf of the Acting U.S. Attorney, the Department did not produce the requested documents and it refused the Committee's interview request as to two of the three employees.²² The third employee, whom the Department did agree to make available for an interview – Patrick Cunningham, Chief of the Criminal Division, U.S. Attorney's Office

²⁰ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., and Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Hon. Robert S. Mueller, III, Dir., FBI (July 11, 2011); Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., & Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Hon. Michele M. Leonhart, Administrator, DEA (July 15, 2011).

²¹ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., & Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Hon. Ann Birmingham Scheel, Acting U.S. Att'y, Dist. of Ariz. (Sept. 1, 2011).

²² Letter from Ronald Weich, Ass't Att'y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., & Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate (Dec. 6, 2011) ("We are not prepared to make any of these attorneys available for interviews.").

for the District of Arizona – invoked his Fifth Amendment privilege against self-incrimination, resigned from government service, and never sat for an interview.²³

35. From June 10 to October 11, 2011, the Department produced, piecemeal, approximately 2,000 pages of documents (i.e., substantially fewer pages than would fit in a single bankers box). During this time, the Committee repeatedly sought full and prompt compliance with the Melson Subpoena. Despite again acknowledging “the Committee’s legitimate oversight interest in the genesis and strategy pertaining to Fast and Furious,”²⁴ the Department continued to refuse to comply fully.

36. Instead, on October 11, 2011, the Department peremptorily announced that it had “substantially concluded [its] efforts to respond” to the Melson Subpoena,²⁵ even though it remained in possession of substantial numbers of responsive documents that had not been produced to the Committee.

The Committee’s Investigation Develops a Second Focus: The Obstruction Component.

37. As 2011 wore on, and notwithstanding the Department’s policy of non-cooperation, the Committee uncovered enough information, primarily through DOJ whistleblowers and other confidential sources, to discern the basic facts about Operation Fast and Furious itself. For example, the Committee learned that:

²³ Letter from Tobin J. Romero to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1-2 (Jan. 19, 2012).

²⁴ Letter from Ronald Weich, Ass’t Att’y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1 (June 14, 2011).

²⁵ Letter from Ronald Weich, Ass’t Att’y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1 (Oct. 11, 2011).

- i. the Department in fact had used risky gun walking techniques in an effort to trace illegally-purchased weapons;
- ii. in Operation Fast and Furious itself, the Department knowingly – indeed purposefully – allowed more than 1,000 firearms, including semi-automatic assault weapons, to pass into the hands of prohibited purchasers who, the Department knew, intended to facilitate the transport of those firearms to Mexico;
- iii. ATF linked those weapons to the murder of Border Patrol Agent Terry, and Mexican officials linked them to scores of killings in Mexico;
- iv. the “big fish” ATF had been chasing through Operation Fast and Furious (i.e., higher-ups in the gun trafficking ring the Department hoped to identify and prosecute though its gun walking tactics) (a) already were known to the DEA and FBI, and (b) actually were, or had been, on the DEA and/or FBI payrolls;
- v. the U.S. Attorney’s Office in Arizona oversaw Operation Fast and Furious on a day-to-day basis once it became an Organized Crime Drug Enforcement Task Force case in early 2010;
- vi. senior Department officials – particularly in ATF, the Department’s Criminal Division, and DAG – were responsible for authorizing, and for failing to halt, the gun walking tactics used in Operation Fast and Furious;
- vii. the supervision of Operation Fast and Furious was seriously flawed in that, among other things:
 - a. ATF employees understood their supervisors to include DAG officials, while those same DAG officials told the Committee that they considered themselves to have no supervisory function with respect to the

ATF; thus, while ATF officials understood that DAG officials had considered and approved the gun walking tactics used in Operation Fast and Furious, those same DAG officials told the Committee that they considered their review of those tactics to have been informational only.

- b. Notwithstanding their responsibility under 18 U.S.C. § 2516(1) to review certain wiretap applications before those applications are submitted to an Article III court – which applications in the case of Operation Fast and Furious contained detailed descriptions of the gun walking tactics being used – certain senior Department officials told the Committee that they authorized those wiretap applications without ever actually reviewing them.²⁶
- c. As a result of the failure of DOJ component entities to share information, Operation Fast and Furious always had been doomed to failure.

²⁶ Staff of H. Comm. on Oversight and Gov't Reform & Hon. Charles E. Grassley, Ranking Member, S. Comm. on Judiciary, 112th Cong., Rep. on Part I of III, Fast and Furious: The Anatomy of a Failed Operation at 167-76 (Comm. Print 2012) ("Joint Staff Report–Part One"), available on-line at <http://oversight.house.gov/wp-content/uploads/2012/07/7-31-12-FF-Part-I-FINAL-REPORT.pdf>. The Joint Staff Report–Part One is the first of three reports contemplated by the Committee that will address Operation Fast and Furious, the first two of which will address the Operations Component of the Committee's investigation. Part One, released July 31, 2012, addresses ATF's implementation of Operation Fast and Furious, while Part Two will address the "failure of supervision and leadership by officials at Justice Department headquarters." *Id.* at 5.

Part Three will address the Obstruction Component of the Committee's investigation, and its preparation is contingent on the Committee obtaining documents responsive to the Holder Subpoena as a result of this litigation.

38. More importantly for purposes of this suit, the Committee concluded that the Department had provided it with false information that obstructed the Committee's investigation, and otherwise actively was attempting to thwart the Committee's investigation. For example:

- i. As a result of the information uncovered by the Committee, discussed in the preceding paragraph, it became clear that the February 4, 2011 False Statement Letter and the May 2, 2011 False Statement Letter contained information and representations that were materially false.
- ii. On July 4, 2011, Acting ATF Director Melson appeared before Committee staff – with private counsel but without DOJ handlers – and asserted, among other things, that the Department was managing its response to the Committee investigation so as to deny the Committee information, and so as “to push the information away from their political appointees at the Department.”²⁷

39. As a result, the Committee's investigation developed a second aspect, the Obstruction Component, described in paragraph 7 above.

The Committee Issues the Holder Subpoena and the Attorney General Defies It.

40. On October 11, 2011, as a result of (i) the Department's October 11, 2011 letter stating that it had “substantially concluded [its] efforts to respond” to the Melson Subpoena after producing only a negligible quantity of responsive documents,²⁸ and (ii) the Committee's

²⁷ Melson Tr. at 130.

²⁸ Letter from Ronald Weich, Ass't Att'y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 1 (Oct. 11, 2011).

development of the Obstruction Component of its investigation, Chairman Issa, pursuant to Committee rules, issued the Holder Subpoena, with an October 25, 2011 return date.

41. The Holder Subpoena required the Attorney General to produce various categories of documents, including the following four categories that are particularly significant for purposes of this lawsuit:

[Category] 1. All communications referring or relating to Operation Fast and Furious . . . or any Organized Crime Drug Enforcement Task Force (OCDETF) firearms trafficking case based in Phoenix, Arizona, to or from the following individuals [16 enumerated senior Department officials].

[Category] 4. All documents and communications referring or relating to any instances prior to February 4, 2011 [i.e., prior to the February 4 False Statement Letter] where [ATF] failed to interdict weapons that had been illegally purchased or transferred.

[Category] 5. All documents and communications referring or relating to any instances prior to February 4, 2011 where ATF broke off surveillance of weapons and subsequently became aware that those weapons entered Mexico.

[Category] 10. All documents and communications between and among [the former U.S. Attorney for the District of Arizona and certain enumerated senior Department officials] referring or relating to Operation Fast and Furious or any OCDETF case originating in Arizona.

Holder Subpoena, Schedule, at 1-2. The Holder Subpoena directed the Attorney General, “[i]n the event that a document is withheld on the basis of privilege, [to] provide a privilege log containing [certain specified] information concerning any such document” *Id.* at Schedule Instructions, Instr. 12.

42. The Attorney General’s response to the Holder Subpoena has been consistent with the Department’s overall response to the Committee’s investigation: slow and woefully incomplete. Among other things, the Attorney General has refused to produce documents dated

or created after February 4, 2011, that are responsive to Categories 1, 4, 5, and 10 of the Holder Subpoena. In particular, the Attorney General's response proceeded as follows:

- i. The Attorney General produced no documents and asserted no privilege by the October 25, 2011 return date.
- ii. Between October 31, 2011, and May 15, 2012, the Attorney General produced only approximately 4,000 pages (i.e., just more than would fit, if printed single-sided, in a single bankers box), which production included virtually no documents that are dated or were created after February 4, 2011.²⁹
- iii. Many of the pages produced were redacted (some completely); others previously had been made available publicly on the Internet; and still others were duplicative.³⁰
- iv. The Attorney General asserted no privilege as to any withheld document.
- v. At the same time the Department was withholding documents from the Committee, it was producing substantial numbers of documents – approximately 80,000 pages of records and over 100,000 e-mails – to the DOJ Inspector General who also was investigating Operation Fast and Furious.³¹

²⁹ The Department's May 15, 2012 production did include three post-February 4, 2011 documents, all of which are self-serving instruments, and none of which are relevant to the Obstruction Component of the Committee's investigation.

³⁰ The "managed" nature of the Department's response to the Committee's investigation is reflected in the fact that many of the documents actually produced were shared with the media at the same time they were produced to the Committee.

³¹ Press Release, Sen. Charles E. Grassley, Grassley Reacts to New Fast and Furious Report (Jan. 31, 2012).

43. From October 31, 2011 to May 15, 2012, the Attorney General and his subordinates reiterated that he would produce no documents responsive to the Holder Subpoena that were dated or created after February 4, 2011, and would not even permit Department witnesses to discuss relevant events that occurred after that date. For example:

- i. On December 8, 2011, the Attorney General testified before the House Committee on the Judiciary that (a) “with regard to the Justice Department as a whole – and I’m certainly a member of the Justice Department – we will not provide memos after February the 4th . . . e-mails, memos”; and (b) “with regard to provision of e-mails, I thought I’ve made it clear that after February the 4th it is not our intention to provide e-mail information.”³² The Attorney General proffered no legal basis for this proclamation, and invoked no privilege.
- ii. On December 14, 2011, Department counsel, appearing with Gary Grindler, the Attorney General’s Chief of Staff and former Deputy Attorney General, broadened the Attorney General’s unilateral refusal to produce post-February 4, 2011 documents to any “information,” period, as to that time period: “What I am saying is that the Attorney General made it clear at his testimony last week that we are not providing information to the committee subsequent to the February 4th [False Statement] letter.”³³ Department counsel proffered no legal basis for this proclamation, and invoked no privilege.

³² *Oversight Hr’g on the United States Dep’t of Justice: Hr’g Before the H. Comm. on the Judiciary*, 112th Cong. (Dec. 8, 2011) (testimony of Eric H. Holder, Jr., Att’y Gen.).

³³ Grindler Tr. at 22.

- iii. On January 10, 2012, Deputy Assistant Attorney General Jason Weinstein refused to answer a line of questions put to him by Committee staff because the questions “implicate[] the post-February 4th period.”³⁴ Mr. Weinstein proffered no legal basis for this proclamation, and invoked no privilege.

44. The Attorney General adhered to his absolutist “no post-February 4 documents position,” notwithstanding the Committee’s repeated explanations for why the documents were necessary to the Committee’s investigation, particularly the Obstruction Component of that investigation. For example:

- i. On January 31, 2012, Chairman Issa advised the Attorney General that (a) the Committee recently had learned that Assistant Attorney General Lanny Breuer, head of the Department’s Criminal Division, was in Mexico shortly before February 4, 2011 – i.e., shortly before the February 4, 2011 False Statement Letter was issued to Senator Grassley – advocating a program in which United States law enforcement authorities would allow illegally purchased firearms to be transported across the U.S.-Mexico border; (b) such advocacy by Assistant Attorney General Breuer in early February 2011 appeared to be inconsistent with the categorical statements in the February 4, 2011 False Statement Letter; and, as a result, (c) “[t]he subpoenaed documents are . . . critical in understanding how

³⁴ Tr. of Interview of Jason Weinstein, Dep’y Ass’t Att’y Gen. at 177 (Jan. 10, 2012) (“Weinstein Transcript”).

the Department mishandled its response to Congress and obstructed our investigative responsibilities.”³⁵

- ii. On March 27, 2012, Chairman Issa advised the Attorney General that an ATF employee, through his private counsel, recently had provided to the Committee certain DOJ documents – responsive to the Holder Subpoena – that the Department had never produced. “The Department still has not produced most of these documents, has provided no notice that it is withholding them, and has cited no valid legal privilege to authorize doing so. Failure to produce documents pursuant to the subpoena merely because they would prove embarrassing for the Department runs contrary to principles of transparency and the Department’s obligation to cooperate with the congressional investigation in good faith.”³⁶
- iii. On May 18, 2012, Chairman Issa, along with the Speaker, Majority Leader and Majority Whip of the House, informed the Attorney General that “two key questions [posed by the Committee’s investigation] remain unanswered: first, who on your leadership team was informed of the reckless tactics used in Fast &

³⁵ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att’y Gen., at 1 (Jan. 31, 2012). The Committee also learned that Assistant Attorney General Breuer, while engaged in such advocacy with the Mexican government, possessed a draft of the February 4, 2011 False Statement Letter.

³⁶ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., and Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Eric. H. Holder, Att’y Gen., at 1 (Mar. 27, 2012).

Furious prior to Agent Terry’s murder; and, second, did your leadership team mislead or misinform Congress in response to a Congressional subpoena?”³⁷

45. In short, (i) the Department provided Congress with false information in connection with a legitimate Committee investigation which concerned a law enforcement operation the Department eventually acknowledged was a debacle, and (ii) the Attorney General – instead of assisting the Committee in determining how the Department had brought such discredit upon itself – then responded by unilaterally denying the Committee access to any information that would have enabled it to understand how those events transpired. The Attorney General justified his position with respect to the post-February 4, 2011 documents by claiming the Committee lacked a sufficient investigatory interest in those documents as discussed in paragraph 11 above, and with nebulous references to “confidentiality interests” and “separation of powers concerns.”³⁸ Through June 19, 2012, he asserted no privilege with respect to any withheld document.

The Committee Unsuccessfully Seeks a Negotiated Resolution.

46. Notwithstanding the Attorney General’s intransigence after the Holder Subpoena was issued, the Committee repeatedly sought an accommodation with him. For example:

- i. On four occasions after the issuance of the Holder Subpoena – October 12, 2011, November 3, 2011, January 20, 2012, and February 27, 2012 – and seven in all

³⁷ Letter from Hon. John A. Boehner, Speaker, Hon. Eric Cantor, Majority Leader, Hon. Kevin McCarthy, Majority Whip, and Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att’y Gen., at 1 (May 18, 2012).

³⁸ Letter from James M. Cole, Dep’y Att’y Gen., to Hon. Darrell E. Issa, Chairman, Oversight Comm., at 7 (May 15, 2012); *see also* Weinstein Tr. at 178, 237-38, 256-57.

after issuance of the Melson Subpoena, Committee staff traveled to the Department to view documents, notwithstanding the Department's refusal to provide the Committee with copies of those documents.

- ii. The Committee offered to narrow the focus of the Holder Subpoena in an effort to obtain the Attorney General's cooperation in producing documents relevant to the Obstruction Component of the Committee's investigation – without ever obtaining a positive response from the Attorney General. For example:

- a. On May 18, 2012, Chairman Issa, along with the Speaker, Majority Leader and Majority Whip of the House, offered to narrow the outstanding demand for compliance to two categories of documents: (1) information showing the involvement of senior officials during Fast and Furious, and (2) post-February 4, 2011 documents related to the Department's response to congressional inquiries and to whistleblower allegations.³⁹ While the Department responded with platitudes about compromise – “[w]e are hopeful that . . . ongoing conversations will lead to a mutually acceptable resolution of these issues” – it included no proposal for actually producing to the Committee documents responsive to the Holder Subpoena.⁴⁰

³⁹ Letter from Hon. John A. Boehner, Speaker, Hon. Eric Cantor, Majority Leader, Hon. Kevin McCarthy, Majority Whip, and Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att'y Gen. (May 18, 2012).

⁴⁰ Letter from James M. Cole, Dep'y Att'y Gen., to Hon. John A. Boehner, Speaker, House of Reps., et al. (June 5, 2012).

- b. On June 13, 2012, Chairman Issa sent a letter to the Attorney General with a specific settlement proposal that, if accepted, would have allowed the Oversight Committee to cancel its scheduled contempt of Congress proceeding. Specifically, the Chairman offered to cease contempt of Congress proceedings in return for production of only the post-February 4, 2011 materials related to the Obstruction Component of the Committee's investigation.⁴¹
- c. On June 15, 2012, Chairman Issa wrote to the Attorney General indicating that both he and Senator Grassley were willing to meet with the Attorney General to discuss the Department's proposal to produce a subset of post-February 4, 2011 documents detailing how the Department's understanding of the facts of Operation Fast and Furious evolved during the post-February 4 period.⁴²
- d. On June 19, 2012, Chairman Issa – along with the Honorable Elijah E. Cummings, Oversight Committee Ranking Member; the Honorable Patrick Leahy, Chairman of the Senate Judiciary Committee; and Senator Grassley – met with the Attorney General in an attempt to negotiate a resolution to the dispute. The Attorney General proposed to disclose a very limited number of post-February 4, 2011 materials, *hand-*

⁴¹ Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att'y Gen. (June 13, 2012).

⁴² Letter from Hon. Darrell E. Issa, Chairman, Oversight Comm., to Eric H. Holder, Jr., Att'y Gen. (June 15, 2012).

selected by political appointees within the Department, in exchange for (1) acceptance by the Committee, *sight unseen*, of the Department's subset of documents as full compliance with the Holder Subpoena, and (2) a *permanent cancellation* of any contempt of Congress vote. Because acceptance of this proposal would have required the Oversight Committee wholly to abdicate its constitutional oversight responsibilities, something to which it could never accede, the proposal was rejected.

The President, Through the Deputy Attorney General, Asserts “Executive Privilege”; The Committee Again Is Rebuffed in Its Efforts to Reach an Accommodation; and The House Votes to Hold the Attorney General in Contempt of Congress.

47. On June 20, 2012, more than eight months after the issuance of the Holder Subpoena – and literally moments before a scheduled Committee meeting to consider a resolution recommending to the full House that it adopt a resolution holding the Attorney General in contempt of Congress – Deputy Attorney General Cole informed the Committee that the President had asserted Executive privilege “over the relevant post-February 4, 2011, documents.”⁴³ The Deputy Attorney General did not provide a privilege log of any kind for, or otherwise describe, the withheld documents; the letter contained no suggestion that the documents at issue implicate or otherwise involve any advice to the President; and the letter claiming “Executive privilege” was not signed by the President.

48. The Oversight Committee met in open session on June 20, 2012, to consider the Attorney General's refusal to comply with the Holder Subpoena. The Committee rejected the

⁴³ June 20, 2012 Privilege Letter, at 1.

assertion of Executive privilege and voted 23-17 to report the Attorney General's contumacious conduct to the full House.

49. On June 22, 2012, the Committee's report, H.R. Rep. No. 112-546 (2012), was filed with the Clerk of the House.

50. On June 25, 2012, Chairman Issa wrote to the President to express a continued willingness on the part of the Committee, even following the Committee's contempt recommendation, to try to reach an accommodation with the Attorney General regarding the dispute over the Holder Subpoena. "I still believe that a settlement, rendering further contempt of Congress proceedings unnecessary, is in the best interests of the Justice Department, Congress, and those most directly affected by Operation Fast and Furious."⁴⁴ The President never responded.

51. On June 26, 2012, staff members from both the Oversight Committee and the House leadership met with officials from both the Department and the White House. At this meeting, Executive Branch officials again insisted that the Committee agree to drop its investigation in return for the receipt, sight unseen, of some documents hand-selected by the Department. Notably, (i) the Executive Branch officials present could not even identify the number of post-February 4, 2011 documents they proposed to produce, and (ii) the handful of pages the Executive Branch officials brought with them to the meeting – billed as an "illustrative sample" of a "fair compilation" of documents – shed no meaningful light on the Obstruction Component of the Committee's investigation.

⁴⁴ Letter from Hon. Darrell E. Issa, Chairman, Oversight Committee, to The President, at 6 (June 25, 2012).

52. On June 28, 2012, the House adopted H. Res. 711 by a bipartisan vote of 255-67.⁴⁵ H. Res. 711 provides that Attorney General Holder “shall be found to be in contempt of Congress for failure to comply with a congressional subpoena,” and directs that, “pursuant to 2 U.S.C. 192 and 194, the Speaker of the House of Representatives shall certify the report of the [Oversight Committee], detailing the refusal of Eric H. Holder, Jr., Attorney General . . . to produce documents to the Committee . . . as directed by subpoena, to the [U.S.] Attorney for the District of Columbia, to the end that Mr. Holder be proceeded against in the manner and form provided by law.”

53. On June 28, 2012, the full House also adopted, by a bipartisan vote of 258-95, House Resolution 706, 112th Cong. (June 28, 2012) (enacted) (“H. Res. 706”).⁴⁶ H. Res. 706 authorizes the Chairman of the Oversight Committee, among other things, to initiate this suit on behalf of the Committee to enforce the Attorney General’s legal obligations to comply with the Holder Subpoena.

54. Before the Speaker had even certified the House’s contempt of Congress resolution and the Committee’s Report to the U.S. Attorney, pursuant to H. Res. 711 and 2 U.S.C. § 194, Deputy Attorney General Cole – who is not the official vested by statute with the obligation to take legal action in response to any certification by the Speaker – notified the

⁴⁵ 158 Cong. Rec. H4417 (daily ed. June 28, 2012), *available on-line at* <http://www.gpo.gov/fdsys/search/citation.result.CREC.action?congressionalRecord.volume=158&congressionalRecord.pagePrefix=H&congressionalRecord.pageNumber=4417&publication=C REC>.

⁴⁶ 158 Cong. Rec. H4420-21 (daily ed. June 28, 2012), *available on-line at* <http://www.gpo.gov/fdsys/search/citation.result.CREC.action?congressionalRecord.volume=158&congressionalRecord.pagePrefix=H&congressionalRecord.pageNumber=4420&publication=C REC>.

Speaker that any such certification would be of no concern to the Department: “[T]he Attorney General’s response to the [Holder Subpoena] does not constitute a crime, and therefore the Department will not bring the congressional contempt citation before a grand jury or take any other action to prosecute the Attorney General.”⁴⁷

55. On June 29, 2012, the Speaker certified to the U.S. Attorney for the District of Columbia, Ronald C. Machen, Jr., H. Res. 711 and H.R. Rep. No. 112-546. Mr. Machen did not respond.

56. On June 29, 2012, Senator Grassley advised Mr. Machen that he (Mr. Machen) was statutorily obligated to present the matter of the Attorney General’s contempt to a grand jury; asked Mr. Machen whether he had “been instructed not to present the contempt citation to a grand jury”; and asked whether Mr. Machen “independently [had] decided not to present the contempt citation to a grand jury.”⁴⁸ Again, Mr. Machen did not respond.

57. On July 16, 2012, Deputy Attorney General Cole – not Mr. Machen – responded to Senator Grassley’s June 29, 2012 letter to Mr. Machen as follows: “[Mr. Machen] has asked that I convey to you his concurrence with the position” that no criminal prosecution against the Attorney General would be pursued.⁴⁹

⁴⁷ Letter from James M. Cole, Dep’y Att’y Gen., to Hon. John A. Boehner, Speaker of the House, at 2 (June 28, 2012).

⁴⁸ Letter from Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, to Ronald C. Machen, Jr., U.S. Att’y, at 3 (June 29, 2012).

⁴⁹ Letter from James M. Cole, Dep’y Att’y Gen., to Hon. Charles E. Grassley, Ranking Member, Comm. on the Judiciary, U.S. Senate, at 1 (July 16, 2012).

58. On July 26, 2012, the General Counsel of the House wrote to Mr. Machen to inquire “whether Deputy Attorney General Cole’s statement that you will not proceed as required by Section 194 represents your position.”⁵⁰ On July 30, 2012, Mr. Machen responded that he would not proceed as required by Section 194.⁵¹

59. Accordingly, it is clear beyond any doubt that enforcement of the Holder Subpoena by way of criminal prosecution of the Attorney General, pursuant to 2 U.S.C. § 194, is foreclosed (at least as long as Mr. Machen serves as U.S. Attorney).

60. As a result, the parties are at an impasse and, accordingly, the Committee now seeks this Court’s assistance in enforcing the Holder Subpoena in order to enable the Committee to complete its investigation. If the matter is left unresolved, the Oversight Committee and the American public will suffer substantial injury because the Committee will be prevented from obtaining the information necessary to fulfill its constitutional responsibility to conduct meaningful and effective oversight, and the Congress will be prevented from enacting necessary and appropriate legislation.

61. In accordance with H. Res. 706, the Speaker of the House has authorized the General Counsel of the House to initiate this action on behalf of the Committee. This suit is necessary and appropriate because (i) the Attorney General has refused to comply with the Holder Subpoena and produce documents necessary for the Committee’s investigation; (ii) the Committee’s repeated efforts to reach an accommodation with the Attorney General repeatedly

⁵⁰ Letter from Kerry W. Kircher, General Counsel, U.S. House of Reps., to Ronald C. Machen, Jr., U.S. Attorney (July 26, 2012).

⁵¹ Letter from Ronald C. Machen, Jr., U.S. Attorney, to Kerry W. Kircher, General Counsel, U.S. House of Reps. (July 30, 2012).

have been rebuffed; (iii) there is no realistic possibility that such efforts will be successful in the future; and (iv) the U.S. Attorney has refused to carry out his statutory obligation to present to a grand jury the facts pertaining to the Attorney General's refusal to comply with the Holder Subpoena.

SPECIFIC CLAIMS FOR RELIEF

62. The Department's and the Attorney General's response to the Committee's investigation has been woefully inadequate in every respect. However, notwithstanding their lack of cooperation, the Committee has managed to obtain sufficient facts – principally through the aid of DOJ whistleblowers – to begin reporting to the American people on the Operations Component of its investigation.⁵² Accordingly, although the Committee has a legal and constitutional right to obtain from the Attorney General all documents responsive to the Holder Subpoena not already produced, the Committee chooses in this action to seek only a limited subset of such responsive but unproduced documents, namely, those documents that are relevant to the Obstruction Component of the Committee's investigation which the Committee cannot obtain from any other source. To that end, the Committee here seeks to compel the Attorney General to produce those documents dated or that were created after February 4, 2011, that are responsive to Categories 1, 4, 5, and 10 of the Holder Subpoena. In the Committee's judgment, this limited subset of responsive documents – referred to herein as the "Post-February 4 Subset" – includes or constitutes the documents most likely to be relevant to the Obstruction Component of the Committee's investigation and, when produced, most likely to enable the Committee to complete its investigation.

⁵² See Joint Staff Report–Part One.

COUNT I

63. The Oversight Committee incorporates and re-alleges paragraphs 1 through 62, above, as if set forth fully herein.

64. The Holder Subpoena was duly authorized, issued and served.

65. The Holder Subpoena required, and requires, the Attorney General to produce certain documents, as stated in its Schedule, including the Post-February 4 Subset.

66. The June 20, 2012 Privilege Letter, which asserts “Executive privilege” as a basis for the Attorney General’s refusal to produce the Post-February 4 Subset, contains no suggestion that the documents as to which the privilege is asserted implicate or otherwise involve any advice to the President.

67. The actions of the Department which would be revealed by the Post-February 4 Subset do not involve core constitutional functions of the President.

68. The privilege asserted in the June 20, 2012 Privilege Letter appears to be wholly grounded in a common-law based privilege known as the “deliberative process privilege” which may not validly be asserted in response to a congressional subpoena, and which does not apply here in any event.

69. Accordingly, the Attorney General is legally obligated to produce to the Oversight Committee, in response to the Holder Subpoena, the Post-February 4 Subset.

70. The Attorney General has violated that legal obligation by failing to produce to the Oversight Committee the Post-February 4 Subset.

71. WHEREFORE, the Oversight Committee prays that this Court (i) declare that the privilege asserted in the June 20, 2012 Privilege Letter may not validly be asserted in response to the Holder Subpoena; (ii) declare that the Attorney General’s objection to the Holder Subpoena,

as set forth in the June 20, 2012 Privilege Letter, is rejected; (iii) declare that the Attorney General's failure to produce to the Oversight Committee the Post-February 4 Subset is without legal justification and violates the Attorney General's legal obligations to the Committee; and (iv) order the Attorney General forthwith to produce to the Oversight Committee the Post-February 4 Subset.

COUNT II

72. The Oversight Committee incorporates and re-alleges paragraphs 1 through 71, above, as if set forth fully herein.

73. The Holder Subpoena was duly authorized, issued and served.

74. The Holder Subpoena required, and requires, the Attorney General to produce certain documents, as stated in its Schedule, including the Post-February 4 Subset.

75. The June 20, 2012 Privilege Letter, which asserts "Executive privilege" as a basis for the Attorney General's refusal to produce the Post-February 4 Subset, contains no statement that the documents as to which the privilege is asserted implicate or otherwise involve any advice to the President.

76. Nevertheless, it is possible that the Attorney General may contend, in the course of this litigation, that the privilege assertion contained in the June 20, 2012 Privilege Letter was intended to cover specific presidential communications that are part of the Post-February 4 Subset. In the event the Attorney General so contends, the Committee is entitled to an order directing the Attorney General to identify all such documents with sufficient specificity to enable the Court and the Oversight Committee independently to assess any such privilege assertion.

77. Moreover, the "presidential communications privilege"

- i. applies at most only to (a) direct decision-making by the President involving quintessential and non-delegable Presidential power, and/or (b) communications authored or solicited and received by the President's closest advisors in the course of actively formulating advice for the President, i.e., advisors at a level close enough to the President to be revelatory of his deliberations or to pose a risk to the candor of his advisors;
- ii. is only a qualified privilege and, as such, even if a document responsive to the Holder Subpoena fell within the scope of the "presidential communications privilege," the document nevertheless must be produced if there exists a sufficient need on the part of the Committee for the document and it is in the public interest that the document be produced; and
- iii. when asserted in response to a congressional subpoena, must be in writing and signed by the President.

78. Therefore, to the extent, if any, that the Attorney General contends, in the course of this litigation, that the "presidential communications privilege" covers specific presidential communications that are part of the Post-February 4 Subset, that privilege does not apply here because:

- i. The documents that constitute the Post-February 4 Subset do not implicate any direct decision-making by the President involving quintessential and non-delegable Presidential power.
- ii. The documents that constitute the Post-February 4 Subset, upon information and belief, do not actually constitute communications authored or solicited and

received by the President's closest advisors in the course of actively formulating advice for the President.

- iii. The Oversight Committee has a demonstrated specific need for the documents that constitute the Post-February 4 Subset, and it is unable to obtain that information elsewhere.
- iv. The Committee's interest, on behalf of the American people, in securing the documents that constitute the Post-February 4 Subset, is particularly acute given that those documents may reflect attempts to obstruct Congress by interfering with the Committee's investigative and oversight functions.
- v. The June 20, 2012 Privilege Letter was not signed by the President.

79. Accordingly, the Attorney General is legally obligated to produce to the Oversight Committee, in response to the Holder Subpoena, the Post-February 4 Subset.

80. The Attorney General has violated that legal obligation by failing to produce to the Oversight Committee the Post-February 4 Subset.

81. WHEREFORE, in the event that the Attorney General contends, in the course of this litigation, that the privilege assertion contained in the June 20, 2012 Privilege Letter was intended to cover specific presidential communications that are part of the Post-February 4 Subset, the Oversight Committee prays that this Court order the Attorney General to identify all such documents with sufficient specificity to enable the Court and the Oversight Committee independently to assess any such privilege assertion, and thereafter (i) declare that any such "presidential communications privilege" claim as to any documents so identified is rejected; (ii) declare that the Attorney General's failure to produce to the Oversight Committee any documents so identified is without legal justification and violates the Attorney General's legal

obligations to the Committee; and (iii) order the Attorney General forthwith to produce to the Oversight Committee any such document(s) so identified.

PRAYER FOR RELIEF

WHEREFORE, the Oversight Committee respectfully prays that this Court

A. Enter declaratory and injunctive relief as follows:

1. With respect to Count I:

i. Declare that the privilege asserted in the June 20, 2012 Privilege Letter may not validly be asserted in response to the Holder Subpoena;

ii. declare that the Attorney General's objection to the Holder Subpoena, as set forth in the June 20, 2012 Privilege Letter, is rejected;

iii. Declare that the Attorney General's failure to produce to the Oversight Committee the Post-February 4 Subset (i.e., all those documents responsive to Categories 1, 4, 5, and 10 of the Holder Subpoena that are dated or were created after February 4, 2011) is without legal justification and violates the Attorney General's legal obligations to the Committee; and

iv. Order the Attorney General forthwith to produce to the Oversight Committee the Post-February 4 Subset (i.e., all those documents responsive to Categories 1, 4, 5, and 10 of the Holder Subpoena that are dated or were created after February 4, 2011).

2. With respect to Count II, in the event that the Attorney General contends, in the course of this litigation, that the privilege assertion contained in the June 20, 2012 Privilege Letter was intended to cover specific presidential communications that are part of the Post-February 4 Subset (i.e., all those documents responsive to Categories 1, 4, 5, and 10 of the Holder Subpoena that are dated or were created after February 4, 2011):
 - i. Order the Attorney General to identify all such documents with sufficient specificity to enable the Court and the Oversight Committee independently to assess any such privilege assertion;
 - ii. Thereafter:
 - (a) declare that any such “presidential communications privilege” claim as to any documents so identified is rejected;
 - (b) declare that the Attorney General’s failure to produce to the Oversight Committee any documents so identified is without legal justification and violates the Attorney General’s legal obligations to the Committee; and
 - (c) order the Attorney General forthwith to produce to the Oversight Committee any such document(s) so identified.
- B. Retain jurisdiction to review any disputes that may arise regarding the Attorney General’s compliance with this Court’s order.
- C. Grant the Oversight Committee such other and further relief as may be just and proper under the circumstances.

Respectfully submitted,

/s/ Kerry W. Kircher

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Government Reform, U.S. House of Representatives*

August 13, 2012

Exhibit A

Case No. 1:12-cv-1332

SUBPOENA

BY AUTHORITY OF THE HOUSE OF REPRESENTATIVES OF THE CONGRESS OF THE UNITED STATES OF AMERICA

The Honorable Eric H. Holder Jr., Attorney General of the United States
To SERVE: Faith Burton, Special Counsel, Office of Legislative Affairs

You are hereby commanded to be and appear before the Committee on Oversight and Government Reform

of the House of Representatives of the United States at the place, date and time specified below.

- to testify touching matters of inquiry committed to said committee or subcommittee; and you are not to depart without leave of said committee or subcommittee.

Place of testimony: _____

Date: _____

Time: _____

- to produce the things identified on the attached schedule touching matters of inquiry committed to said committee or subcommittee; and you are not to depart without leave of said committee or subcommittee.

Place of production: 2157 Rayburn House Office Building, Washington D.C. 20515

Date: October 25, 2011

Time: 12:00 noon

To any authorized staff member _____

_____ to serve and make return.

Witness my hand and the seal of the House of Representatives of the United States,
at the city of Washington, this 11th day of October, 2011.

Attest:

Laura P. Haas
Clerk

[Signature]
Chairman or Authorized Member

SCHEDULE

In accordance with the attached schedule instructions, you, Eric H. Holder Jr., are required to produce all records in unredacted form described below:

1. All communications referring or relating to Operation Fast and Furious, the Jacob Chambers case, or any Organized Crime Drug Enforcement Task Force (OCDETF) firearms trafficking case based in Phoenix, Arizona, to or from the following individuals:
 - a. Eric Holder Jr., Attorney General;
 - b. David Ogden, Former Deputy Attorney General;
 - c. Gary Grindler, Office of the Attorney General and former Acting Deputy Attorney General;
 - d. James Cole, Deputy Attorney General;
 - e. Lanny Breuer, Assistant Attorney General;
 - f. Ronald Weich, Assistant Attorney General;
 - g. Kenneth Blanco, Deputy Assistant Attorney General;
 - h. Jason Weinstein, Deputy Assistant Attorney General;
 - i. John Keeney, Deputy Assistant Attorney General;
 - j. Bruce Swartz, Deputy Assistant Attorney General;
 - k. Matt Axelrod, Associate Deputy Attorney General;
 - l. Ed Siskel, former Associate Deputy Attorney General;
 - m. Brad Smith, Office of the Deputy Attorney General;
 - n. Kevin Carwile, Section Chief, Capital Case Unit, Criminal Division;
 - o. Joseph Cooley, Criminal Fraud Section, Criminal Division; and,
 - p. James Trusty, Acting Chief, Organized Crime and Gang Section.
2. All communications between and among Department of Justice (DOJ) employees and Executive Office of the President employees, including but not limited to Associate Communications Director Eric Schultz, referring or relating to Operation Fast and Furious or any other firearms trafficking cases.
3. All communications between DOJ employees and Executive Office of the President employees referring or relating to the President's March 22, 2011 interview with Jorge Ramos of Univision.
4. All documents and communications referring or relating to any instances prior to February 4, 2011 where the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) failed to interdict weapons that had been illegally purchased or transferred.

5. All documents and communications referring or relating to any instances prior to February 4, 2011 where ATF broke off surveillance of weapons and subsequently became aware that those weapons entered Mexico.
6. All documents and communications referring or relating to the murder of Immigrations and Customs Enforcement Agent Jaime Zapata, including but not limited to documents and communications regarding Zapata's mission when he was murdered, Form for Reporting Information That May Become Testimony (FD-302), photographs of the crime scene, and investigative reports prepared by the FBI.
7. All communications to or from William Newell, former Special Agent-in-Charge for ATF's Phoenix Field Division, between:
 - a. December 14, 2010 to January 25, 2011; and,
 - b. March 16, 2009 to March 19, 2009.
8. All Reports of Investigation (ROIs) related to Operation Fast and Furious or ATF Case Number 785115-10-0004.
9. All communications between and among Matt Axelrod, Kenneth Melson, and William Hoover referring or relating to ROIs identified pursuant to Paragraph 8.
10. All documents and communications between and among former U.S. Attorney Dennis Burke, Attorney General Eric Holder Jr., former Acting Deputy Attorney General Gary Grindler, Deputy Attorney General James Cole, Assistant Attorney General Lanny Breuer, and Deputy Assistant Attorney General Jason Weinstein referring or relating to Operation Fast and Furious or any OCDEF case originating in Arizona.
11. All communications sent or received between:
 - a. December 16, 2009 and December 18, 2009, and;
 - b. March 9, 2011 and March 14, 2011, to or from the following individuals:
 - i. Emory Hurley, Assistant U.S. Attorney, Office of the U.S. Attorney for the District of Arizona;
 - ii. Michael Morrissey, Assistant U.S. Attorney, Office of the U.S. Attorney for the District of Arizona;
 - iii. Patrick Cunningham, Chief, Criminal Division, Office of the U.S. Attorney for the District of Arizona;
 - iv. David Voth, Group Supervisor, ATF; and,
 - v. Hope MacAllister, Special Agent, ATF.

12. All communications sent or received between December 15, 2010 and December 17, 2010 to or from the following individuals in the U.S. Attorney's Office for the District of Arizona:
 - a. Dennis Burke, former United States Attorney;
 - b. Emory Hurley, Assistant United States Attorney;
 - c. Michael Morrissey, Assistant United States Attorney; and,
 - d. Patrick Cunningham, Chief of the Criminal Division.
13. All communications sent or received between August 7, 2009 and March 19, 2011 between and among former Ambassador to Mexico Carlos Pascual; Assistant Attorney General Lanny Breuer; and, Deputy Assistant Attorney General Bruce Swartz.
14. All communications sent or received between August 7, 2009 and March 19, 2011 between and among former Ambassador to Mexico Carlos Pascual and any Department of Justice employee based in Mexico City referring or relating to firearms trafficking initiatives, Operation Fast and Furious or any firearms trafficking case based in Arizona, or any visits by Assistant Attorney General Lanny Breuer to Mexico.
15. Any FD-302 relating to targets, suspects, defendants, or their associates, bosses, or financiers in the Fast and Furious investigation, including but not limited to any FD-302s ATF Special Agent Hope MacAllister provided to ATF leadership during the calendar year 2011.
16. Any investigative reports prepared by the FBI or Drug Enforcement Administration (DEA) referring or relating to targets, suspects, or defendants in the Fast and Furious case.
17. Any investigative reports prepared by the FBI or DEA relating to the individuals described to Committee staff at the October 5, 2011 briefing at Justice Department headquarters as Target Number 1 and Target Number 2.
18. All documents and communications in the possession, custody or control of the DEA referring or relating to Manuel Fabian Celis-Acosta.
19. All documents and communications between and among FBI employees in Arizona and the FBI Laboratory, including but not limited to employees in the Firearms/Toolmark Unit, referring or relating to the firearms recovered during the course of the investigation of Brian Terry's death.
20. All agendas, meeting notes, meeting minutes, and follow-up reports for the Attorney General's Advisory Committee of U.S. Attorneys between March 1, 2009 and July 31, 2011, referring or relating to Operation Fast and Furious.
21. All weekly reports and memoranda for the Attorney General, either directly or through the Deputy Attorney General, from any employee in the Criminal Division, ATF, DEA, FBI,

or the National Drug Intelligence Center created between November 1, 2009 and September 30, 2011.

22. All surveillance tapes recorded by pole cameras inside the Lone Wolf Trading Co. store between 12:00 a.m. on October 3, 2010 and 12:00 a.m. on October 7, 2010.

Schedule Instructions

1. In complying with this subpoena, you are required to produce all responsive documents that are in your possession, custody, or control, whether held by you or your past or present agents, employees, and representatives acting on your behalf. You should also produce documents that you have a legal right to obtain, that you have a right to copy or to which you have access, as well as documents that you have placed in the temporary possession, custody, or control of any third party. Subpoenaed records, documents, data or information should not be destroyed, modified, removed, transferred or otherwise made inaccessible to the Committee.
2. In the event that any entity, organization or individual denoted in this subpoena has been, or is also known by any other name than that herein denoted, the subpoena shall be read also to include that alternative identification.
3. The Committee's preference is to receive documents in electronic form (i.e., CD, memory stick, or thumb drive) in lieu of paper productions.
4. Documents produced in electronic format should also be organized, identified, and indexed electronically.
5. Electronic document productions should be prepared according to the following standards:
 - (a) The production should consist of single page Tagged Image File ("TIF"), files accompanied by a Concordance-format load file, an Opticon reference file, and a file defining the fields and character lengths of the load file.
 - (b) Document numbers in the load file should match document Bates numbers and TIF file names.
 - (c) If the production is completed through a series of multiple partial productions, field names and file order in all load files should match.
6. Documents produced to the Committee should include an index describing the contents of the production. To the extent more than one CD, hard drive, memory stick, thumb drive, box or folder is produced, each CD, hard drive, memory stick, thumb drive, box or folder should contain an index describing its contents.
7. Documents produced in response to this subpoena shall be produced together with copies of file labels, dividers or identifying markers with which they were associated when the subpoena was served.

8. When you produce documents, you should identify the paragraph in the Committee's schedule to which the documents respond.
9. It shall not be a basis for refusal to produce documents that any other person or entity also possesses non-identical or identical copies of the same documents.
10. If any of the subpoenaed information is only reasonably available in machine-readable form (such as on a computer server, hard drive, or computer backup tape), you should consult with the Committee staff to determine the appropriate format in which to produce the information.
11. If compliance with the subpoena cannot be made in full by October 25, 2011 at 12:00 noon, compliance shall be made to the extent possible by that date. An explanation of why full compliance is not possible shall be provided no later than October 23, 2011 at 12:00 noon.
12. In the event that a document is withheld on the basis of privilege, provide a privilege log containing the following information concerning any such document: (a) the privilege asserted; (b) the type of document; (c) the general subject matter; (d) the date, author and addressee; and (e) the relationship of the author and addressee to each other.
13. If any document responsive to this subpoena was, but no longer is, in your possession, custody, or control, identify the document (stating its date, author, subject and recipients) and explain the circumstances under which the document ceased to be in your possession, custody, or control.
14. If a date or other descriptive detail set forth in this subpoena referring to a document is inaccurate, but the actual date or other descriptive detail is known to you or is otherwise apparent from the context of the subpoena, you are required to produce all documents which would be responsive as if the date or other descriptive detail were correct.
15. With the exception of paragraphs 4 and 5, the time period covered by this subpoena is from August 1, 2009 to the present, unless otherwise specified.
16. This subpoena is continuing in nature and applies to any newly-discovered information. Any record, document, compilation of data or information, not produced because it has not been located or discovered by the return date, shall be produced immediately upon subsequent location or discovery.
17. All documents shall be Bates-stamped sequentially and produced sequentially.
18. Two sets of documents shall be delivered, one set to the Majority Staff and one set to the Minority Staff. When documents are produced to the Committee, production sets shall be delivered to the Majority Staff in Room 2157 of the Rayburn House Office Building and the Minority Staff in Room 2471 of the Rayburn House Office Building.
19. Upon completion of the document production, you should submit a written certification, signed by you or your counsel, stating that: (1) a diligent search has been completed of all documents in your possession, custody, or control which reasonably could contain responsive

documents; and (2) all documents located during the search that are responsive have been produced to the Committee.

Schedule Definitions

1. The term “document” means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (e-mail), contracts, cables, notations of any type of conversation, telephone call, meeting or other communication, bulletins, printed matter, computer printouts, teletypes, invoices, transcripts, diaries, analyses, returns, summaries, minutes, bills, accounts, estimates, projections, comparisons, messages, correspondence, press releases, circulars, financial statements, reviews, opinions, offers, studies and investigations, questionnaires and surveys, and work sheets (and all drafts, preliminary versions, alterations, modifications, revisions, changes, and amendments of any of the foregoing, as well as any attachments or appendices thereto), and graphic or oral records or representations of any kind (including without limitation, photographs, charts, graphs, microfiche, microfilm, videotape, recordings and motion pictures), and electronic, mechanical, and electric records or representations of any kind (including, without limitation, tapes, cassettes, disks, and recordings) and other written, printed, typed, or other graphic or recorded matter of any kind or nature, however produced or reproduced, and whether preserved in writing, film, tape, disk, videotape or otherwise. A document bearing any notation not a part of the original text is to be considered a separate document. A draft or non-identical copy is a separate document within the meaning of this term.
2. The term “communication” means each manner or means of disclosure or exchange of information, regardless of means utilized, whether oral, electronic, by document or otherwise, and whether in a meeting, by telephone, facsimile, email (desktop or mobile device), text message, instant message, MMS or SMS message, regular mail, telexes, releases, or otherwise.
3. The terms “and” and “or” shall be construed broadly and either conjunctively or disjunctively to bring within the scope of this subpoena any information which might otherwise be construed to be outside its scope. The singular includes plural number, and vice versa. The masculine includes the feminine and neuter genders.
4. The terms “person” or “persons” mean natural persons, firms, partnerships, associations, corporations, subsidiaries, divisions, departments, joint ventures, proprietorships, syndicates, or other legal, business or government entities, and all subsidiaries, affiliates, divisions, departments, branches, or other units thereof.
5. The term “identify,” when used in a question about individuals, means to provide the following information: (a) the individual's complete name and title; and (b) the individual's business address and phone number.
6. The term “referring or relating,” with respect to any given subject, means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with or is pertinent to that subject in any manner whatsoever.

7. The term “employee” means agent, borrowed employee, casual employee, consultant, contractor, de facto employee, independent contractor, joint adventurer, loaned employee, part-time employee, permanent employee, personnel, provisional employee, staffer, subcontractor, or any other type of service provider.
8. The terms “Target Number 1” and “Target Number 2” mean the individuals identified as such during the October 5, 2011 briefing for congressional staff provided by FBI, DEA, ATF, and DOJ employees at DOJ headquarters.

PROOF OF SERVICE

Subpoena for The Honorable Eric H. Holder Jr., Attorney General of the United States
SERVE: Faith Burton, Special Counsel, Office of Legislative Affairs

Address 950 Pennsylvania Avenue NW
Washington, D.C. 20530-0001

before the Committee on Oversight and Government Reform

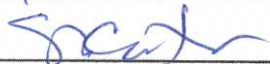
U.S. House of Representatives
112th Congress

Served by (print name) Steve Castor

Title Chief Counsel for Investigations

Manner of service by e-mail per agreement

Date 10/12/11

Signature of Server 

Address 2157 RHOB, WDC 20515

CIVIL COVER SHEET

JS-44 (Rev. 5/12 DC)

<p>I. (a) PLAINTIFFS</p> <p>Committee on Oversight and Government Reform, United States House of Representatives</p> <p>(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF _____ (EXCEPT IN U.S. PLAINTIFF CASES)</p> <p>(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)</p> <p>Kerry W. Kircher, Office of General Counsel U.S. House of Representatives 219 Cannon House Office Building Washington, DC 20515 (202) 225-9700</p>	<p>DEFENDANTS</p> <p>Eric H. Holder, Jr., in his official capacity as Attorney General of the United States</p> <p>COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT <u>11001</u> (IN U.S. PLAINTIFF CASES ONLY) <small>NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED</small></p> <p>ATTORNEYS (IF KNOWN)</p>																								
<p>II. BASIS OF JURISDICTION (PLACE AN X IN ONE BOX ONLY)</p> <p><input checked="" type="radio"/> 1 U.S. Government Plaintiff <input type="radio"/> 3 Federal Question (U.S. Government Not a Party)</p> <p><input type="radio"/> 2 U.S. Government Defendant <input type="radio"/> 4 Diversity (Indicate Citizenship of Parties in item III)</p>	<p>III. CITIZENSHIP OF PRINCIPAL PARTIES (PLACE AN X IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT) FOR DIVERSITY CASES ONLY!</p> <table style="width:100%;"> <tr> <td></td> <td style="text-align: center;">PTF</td> <td style="text-align: center;">DFT</td> <td></td> <td style="text-align: center;">PTF</td> <td style="text-align: center;">DFT</td> </tr> <tr> <td>Citizen of this State</td> <td style="text-align: center;"><input type="radio"/> 1</td> <td style="text-align: center;"><input type="radio"/> 1</td> <td>Incorporated or Principal Place of Business in This State</td> <td style="text-align: center;"><input type="radio"/> 4</td> <td style="text-align: center;"><input type="radio"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input type="radio"/> 2</td> <td style="text-align: center;"><input type="radio"/> 2</td> <td>Incorporated and Principal Place of Business in Another State</td> <td style="text-align: center;"><input type="radio"/> 5</td> <td style="text-align: center;"><input type="radio"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="radio"/> 3</td> <td style="text-align: center;"><input type="radio"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="radio"/> 6</td> <td style="text-align: center;"><input type="radio"/> 6</td> </tr> </table>		PTF	DFT		PTF	DFT	Citizen of this State	<input type="radio"/> 1	<input type="radio"/> 1	Incorporated or Principal Place of Business in This State	<input type="radio"/> 4	<input type="radio"/> 4	Citizen of Another State	<input type="radio"/> 2	<input type="radio"/> 2	Incorporated and Principal Place of Business in Another State	<input type="radio"/> 5	<input type="radio"/> 5	Citizen or Subject of a Foreign Country	<input type="radio"/> 3	<input type="radio"/> 3	Foreign Nation	<input type="radio"/> 6	<input type="radio"/> 6
	PTF	DFT		PTF	DFT																				
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Citizen or Subject of a Foreign Country	<input type="radio"/> 3	<input type="radio"/> 3	Foreign Nation	<input type="radio"/> 6	<input type="radio"/> 6																				

IV. CASE ASSIGNMENT AND NATURE OF SUIT

(Place an X in one category, A-N, that best represents your Cause of Action and one in a corresponding Nature of Suit)

<p><input type="radio"/> A. Antitrust</p> <p><input type="checkbox"/> 410 Antitrust</p>	<p><input type="radio"/> B. Personal Injury/Malpractice</p> <p><input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Medical Malpractice <input type="checkbox"/> 365 Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Product Liability</p>	<p><input type="radio"/> C. Administrative Agency Review</p> <p><input type="checkbox"/> 151 Medicare Act</p> <p><u>Social Security</u> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g))</p> <p><u>Other Statutes</u> <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 890 Other Statutory Actions (If Administrative Agency is Involved)</p>	<p><input type="radio"/> D. Temporary Restraining Order/Preliminary Injunction</p> <p>Any nature of suit from any category may be selected for this category of case assignment.</p> <p>*(If Antitrust, then A governs)*</p>
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E. General Civil (Other) **OR** **F. Pro Se General Civil**

<p><u>Real Property</u> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent, Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property</p> <p><u>Personal Property</u> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability</p>	<p><u>Bankruptcy</u> <input type="checkbox"/> 422 Appeal 27 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157</p> <p><u>Prisoner Petitions</u> <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Conditions <input type="checkbox"/> 560 Civil Detainee – Conditions of Confinement</p> <p><u>Property Rights</u> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark</p> <p><u>Federal Tax Suits</u> <input type="checkbox"/> 870 Taxes (US plaintiff or defendant) <input type="checkbox"/> 871 IRS-Third Party 26 USC 7609</p>	<p><u>Forfeiture/Penalty</u> <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other</p> <p><u>Other Statutes</u> <input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 430 Banks & Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc. <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions <input type="checkbox"/> 470 Racketeer Influenced & Corrupt Organization</p>	<p><input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Satellite TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes <input checked="" type="checkbox"/> 890 Other Statutory Actions (if not administrative agency review or Privacy Act)</p>
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<input type="radio"/> G. Habeas Corpus/ 2255 <input type="checkbox"/> 530 Habeas Corpus – General <input type="checkbox"/> 510 Motion/Vacate Sentence <input type="checkbox"/> 463 Habeas Corpus – Alien Detainee	<input type="radio"/> H. Employment Discrimination <input type="checkbox"/> 442 Civil Rights – Employment (criteria: race, gender/sex, national origin, discrimination, disability, age, religion, retaliation) *(If pro se, select this deck)*	<input type="radio"/> I. FOIA/Privacy Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 890 Other Statutory Actions (if Privacy Act) *(If pro se, select this deck)*	<input type="radio"/> J. Student Loan <input type="checkbox"/> 152 Recovery of Defaulted Student Loan (excluding veterans)
<input type="radio"/> K. Labor/ERISA (non-employment) <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 740 Labor Railway Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="radio"/> L. Other Civil Rights (non-employment) <input type="checkbox"/> 441 Voting (if not Voting Rights Act) <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 445 Americans w/Disabilities – Employment <input type="checkbox"/> 446 Americans w/Disabilities – Other <input type="checkbox"/> 448 Education	<input type="radio"/> M. Contract <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 153 Recovery of Overpayment of Veteran’s Benefits <input type="checkbox"/> 160 Stockholder’s Suits <input type="checkbox"/> 190 Other Contracts <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<input type="radio"/> N. Three-Judge Court <input type="checkbox"/> 441 Civil Rights – Voting (if Voting Rights Act)

V. ORIGIN
 1 Original Proceeding
 2 Remand from State Court
 3 Remanded from Appellate Court
 4 Reinstated or Reopened
 5 Transferred from another district (specify)
 6 Multi-district Litigation
 7 Appeal to District Judge from Mag. Judge

VI. CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE.)
 U.S. Const. art I: Failure and refusal to comply with congressional subpoena

VII. REQUESTED IN COMPLAINT	CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 <input type="checkbox"/>	DEMAND \$ _____	JURY DEMAND: YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
VIII. RELATED CASE(S) IF ANY	(See instruction)	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	If yes, please complete related case form

DATE: 08/13/2012	SIGNATURE OF ATTORNEY OF RECORD
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INSTRUCTIONS FOR COMPLETING CIVIL COVER SHEET JS-44
 Authority for Civil Cover Sheet

The JS-44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and services of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. Listed below are tips for completing the civil cover sheet. These tips coincide with the Roman Numerals on the cover sheet.

- I. COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF/DEFENDANT (b) County of residence: Use 11001 to indicate plaintiff if resident of Washington, DC, 88888 if plaintiff is resident of United States but not Washington, DC, and 99999 if plaintiff is outside the United States.
- III. CITIZENSHIP OF PRINCIPAL PARTIES: This section is completed only if diversity of citizenship was selected as the Basis of Jurisdiction under Section II.
- IV. CASE ASSIGNMENT AND NATURE OF SUIT: The assignment of a judge to your case will depend on the category you select that best represents the primary cause of action found in your complaint. You may select only one category. You must also select one corresponding nature of suit found under the category of the case.
- VI. CAUSE OF ACTION: Cite the U.S. Civil Statute under which you are filing and write a brief statement of the primary cause.
- VIII. RELATED CASE(S), IF ANY: If you indicated that there is a related case, you must complete a related case form, which may be obtained from the Clerk’s Office.

Because of the need for accurate and complete information, you should ensure the accuracy of the information provided prior to signing the form.

AO 440 (Rev. 12/09; DC 03/10) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

District of Columbia

Committee on Oversight and Government Reform,
United States House of Representatives

Plaintiff

v.

Eric H. Holder, Jr., in his official capacity as Attorney
General of the United States

Defendant

)
)
)
)
)
)
)
)

Civil Action No. 1:12-cv-1332

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) Eric H. Holder, Jr., U.S. Attorney General
United States Department of Justice
950 Pennsylvania Avenue, NW
Washington, DC 20530

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you
are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ.
P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of
the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney,

whose name and address are: Kerry W. Kircher, General Counsel
Office of General Counsel
U.S. House of Representatives
219 Cannon House Office Building
Washington, DC 20515

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint.
You also must file your answer or motion with the court.

ANGELA D. CAESAR, CLERK OF COURT

Date: _____

Signature of Clerk or Deputy Clerk

Civil Action No. 1:12-cv-1332

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____ .

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____ ; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____ , and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____ , who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____ ; or

I returned the summons unexecuted because _____ ; or

Other *(specify):* _____ .

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____ 0.00 _____ .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc: